

# Folding Dynamics of RNA Secondary Structures

Ivo L. Hofacker

Institute for Theoretical Chemistry, University Vienna

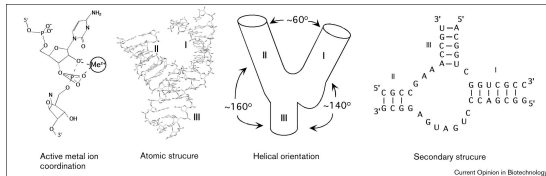
<http://www.tbi.univie.ac.at/~ivo/>

Cambridge, July 2005

# The RNA secondary structure model

RNA secondary structure provide an ideal model to study biopolymer folding

- ▶ provide a biochemically useful structure description
- ▶ mathematically and computationally easy to handle
- ▶ energy model based on carefully measured parameters
- ▶ efficient algorithms for structure prediction



# Computing RNA secondary structures

Most *equilibrium* properties can be computed exactly and efficiently by dynamic programming

- ▶ Minimum free energy structure (Zuker & Stiegler '81)
- ▶ Suboptimal structures
  - ▶ representative suboptimal structures (Zuker '89)
  - ▶ all structures within an energy range (Wuchty et.al. '99)
  - ▶ Boltzmann weighted samples (Ding & Lawrence '03)
- ▶ Partition function and base pair probabilities (McCaskill '90)
- ▶ Density of states (Cupal '96)
- ▶ Minimum free energy with pseudoknots (Rivas & Eddy '99)

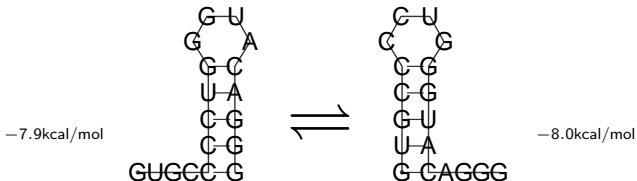
Free software available in the Vienna RNA Package at  
<http://www.tbi.univie.ac.at/~ivo/RNA/>

# Thermodynamic vs. Kinetic Folding

Equilibrium properties can be calculated efficiently

But what about dynamics?

- ▶ On what time scale is equilibrium reached?
- ▶ How fast/slow is re-folding between dissimilar structures?
- ▶ What structures are populated initially?

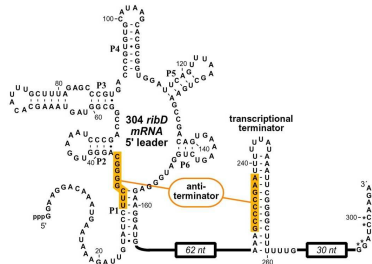
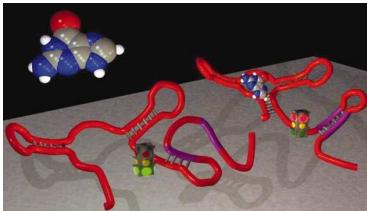


# Structural changes are common in functional RNA

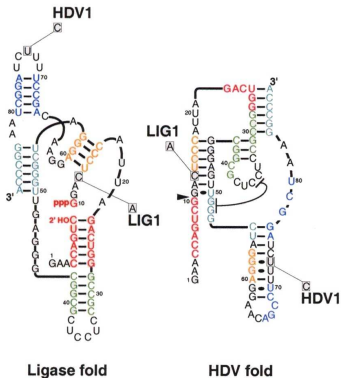
**RNA switches** toggle between active and inactive states by changing conformation.

Used especially to control mRNA translations; triggered by:

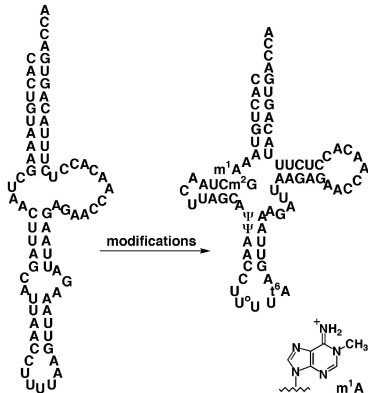
- ▶ binding of proteins or small ligands
- ▶ chemical modification, e.g. tRNA
- ▶ temperature dependent switches
- ▶ timed mRNA switches, e.g. HOK



# Examples of RNA switches



A Ribozyme with two functions (Schultes & Bartel 2000)



Chemical modification triggers the cloverleaf fold of a tRNA (Helm & Giegé 1999)

# Predicting dynamics of RNA folding

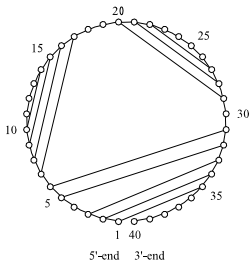
Folding dynamics described by a Markov process with master equation

$$\frac{dp_x}{dt} = \sum_{y \in X} r_{xy} p_y(t), \quad \text{with } r_{xx} = - \sum_{y \neq x} r_{yx}.$$

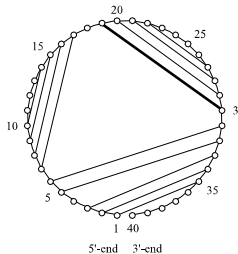
- ▶ Integration of the master equation (toy models only).
- ▶ Stochastic folding simulations. Needs many trajectories.
- ▶ Qualitative analysis of the energy landscape to identify possible traps (local minima). → coarse grained versions of the Markov process

Need to model the rate  $r_{xy}$ . For small moves Metropolis rule is sufficient.

# Elementary move set for RNA secondary structures

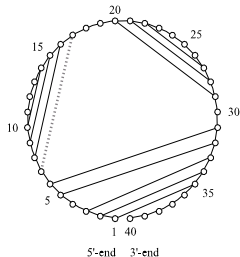


Add base pair



$((((((((\bullet\bullet)))\bullet\bullet(((\bullet\bullet\bullet)))\bullet\bullet))))))\bullet\bullet\bullet\bullet$

Remove base pair



$((((((((\bullet\bullet)))\bullet\bullet(((\bullet\bullet\bullet)))\bullet\bullet))))))\bullet\bullet\bullet\bullet$



$((((((((\bullet\bullet)))\bullet\bullet(((\bullet\bullet\bullet)))\bullet\bullet))))))\bullet\bullet\bullet\bullet$

$((((((((\bullet\bullet)))\bullet\bullet(((\bullet\bullet\bullet)))\bullet\bullet))))))\bullet\bullet\bullet\bullet$



$((((((((\bullet\bullet)))\bullet\bullet(((\bullet\bullet\bullet)))\bullet\bullet))))))\bullet\bullet\bullet\bullet$



# Kinetic Folding Algorithm

Simulate folding kinetics by a Monte-Carlo type algorithm:

Generate all neighbors using the move-set

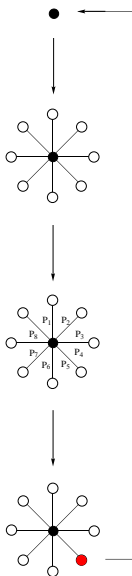
- Basepair Insertion
- Basepair Deletion

Assign rates to each move, e.g.

$$P_i = \min \left\{ 1, \exp \left( -\frac{\Delta E}{kT} \right) \right\}$$

Advance clock  $1/\sum_i P_i$ .

select a move with probability proportional to its rate



# Characterization of Landscapes

A landscape consists of a configuration space  $V$ , a move set within that configuration space and an energy function  $f : V \rightarrow \mathbb{R}$ .

Simplest move set for secondary structures: opening and closing of pairs.

Speed of optimization depends on the *roughness* of the Landscape.

Measures of roughness suggested in the literature:

- ▶ Number of local optima
- ▶ Correlation lengths (e.g. along a random walk)
- ▶ Lengths of adaptive walks
- ▶ Folding temperature vs. glass temperature  $T_f/T_g$
- ▶ Energy barriers between the local optima. Especially, the maximum barrier height (“depth” in SA literature)

## Energy barriers

$$E[s, w] = \min \left\{ \max [f(z) | z \in \mathbf{p}] \mid \mathbf{p} : \text{path from } s \text{ to } w \right\},$$
$$B(s) = \min \{ E[s, w] - f(s) \mid w : f(w) < f(s) \}$$

### Depth and Difficulty

(borrowed from simulated annealing theory)

$$D = \max \{ B(s) \mid s \text{ is not a global minimum} \}$$

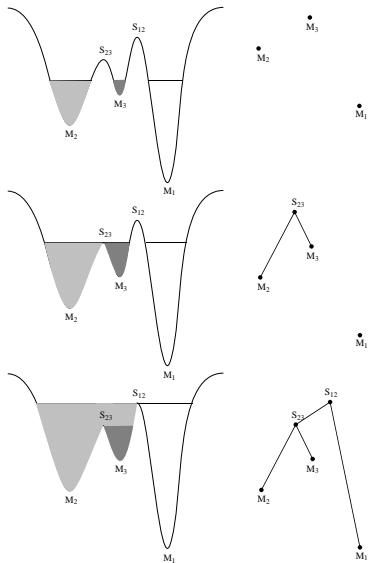
$$\psi = \max \left\{ \frac{B(s)}{f(s) - f(\min)} \mid s \text{ is not a global minimum} \right\}$$

# Calculating barrier trees

## The flooding algorithm:

Read conformations in energy sorted order.  
For each conformation  $x$  we have three cases:

- $x$  is a local minimum if it has no neighbors we've already seen
- $x$  belongs to basin  $B(s)$ , if all known neighbors belong to  $B(s)$
- if  $x$  has neighbors in several basins  $B(s_1) \dots B(s_k)$  then it's a saddle point that *merges* these basins. Basins  $B(s_1), \dots, B(s_k)$  are then united and are assigned to the deepest of local minimum.

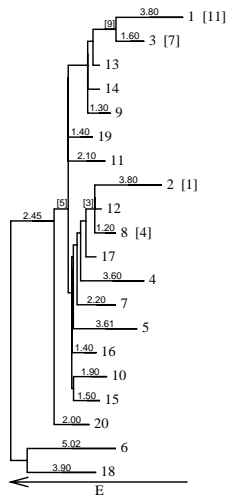
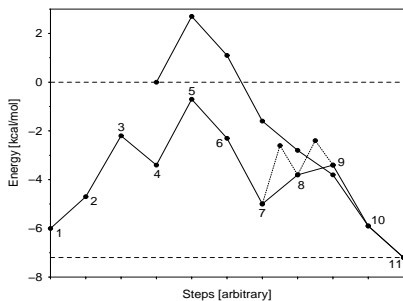
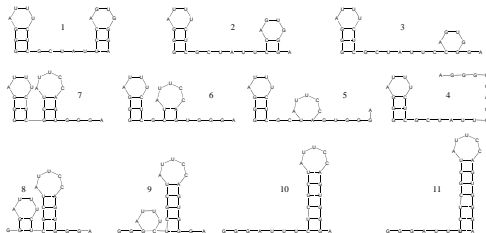


## Information from the Barrier Trees

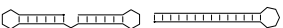
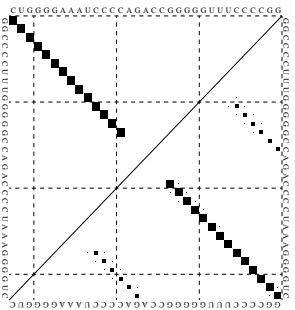
- ▶ Local minima
- ▶ Saddle points
- ▶ Barrier heights
- ▶ Gradient basins
- ▶ Partition functions and free energies of (gradient) basins
- ▶ Effective refolding rates between gradient basins
- ▶ Optimal refolding paths
- ▶ Depth and Difficulty of the landscape

A *gradient basin* is the set of all initial points from which a gradient walk (steepest descent) ends in the same local minimum.

# Energy Landscape of a Toy Sequence

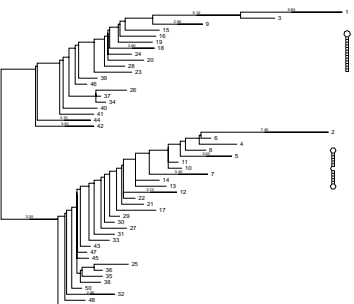


# A Designed Bi-stable Sequence

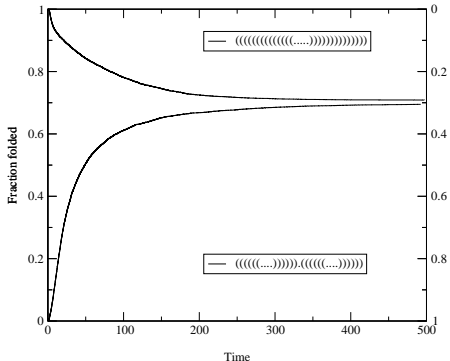


-23.0 kcal

-23.8 kcal



## Barrier Tree and refolding Path



```

((((.....)))).((((.....)))). -23.00
((((.....)))).((((.....)))). -17.50
((((.....)))).((((.....)))). -17.50
((((.....)))).((((.....)))). -17.50
((((.....)))).((((.....)))). -17.50
((((.....)))).((((.....)))). -17.50
((((.....)))).((((.....)))). -13.70
((((.....)))).((((.....)))). -13.70
((((.....)))).((((.....)))). -13.70
((((.....)))).((((.....)))). -14.30
((((.....)))).((((.....)))). -14.30
((((.....)))).((((.....)))). -14.10
((((.....)))).((((.....)))). -12.10
((((.....)))).((((.....)))). -09.20
((((.....)))).((((.....)))). -08.40
((((.....)))).((((.....)))). -09.80
((((.....)))).((((.....)))). -08.60
((((.....)))).((((.....)))). -08.60
((((.....)))).((((.....)))). -10.30
((((.....)))).((((.....)))). -11.40
((((.....)))).((((.....)))). -09.90
((((.....)))).((((.....)))). -09.10
((((.....)))).((((.....)))). -06.20
((((.....)))).((((.....)))). -04.00
((((.....)))).((((.....)))). -04.70
((((.....)))).((((.....)))). -04.50
((((.....)))).((((.....)))). -04.50
((((.....)))).((((.....)))). -04.50
((((.....)))).((((.....)))). -09.09
((((.....)))).((((.....)))). -09.59
((((.....)))).((((.....)))). -10.09
((((.....)))).((((.....)))). -09.50
((((.....)))).((((.....)))). -09.80
((((.....)))).((((.....)))). -09.50
((((.....)))).((((.....)))). -11.30
((((.....)))).((((.....)))). -09.60
((((.....)))).((((.....)))). -09.70
((((.....)))).((((.....)))). -08.30
((((.....)))).((((.....)))). -07.94
((((.....)))).((((.....)))). -14.48
((((.....)))).((((.....)))). -17.50
((((.....)))).((((.....)))). -20.70
((((.....)))).((((.....)))). -23.80
    
```

- ▶ The two component structure is kinetically preferred, because both hairpins act as nucleation centers
- ▶ For the full length chain 75% of trajectories reach the two component structure first
- ▶ Much stronger effect for co-transcriptional folding: only 1 in 1000 trajectories ends in the one component structure



# Coarse Graining the folding dynamics

For a reduced description we need

- ▶ macro-states that form a partition of full configuration space
- ▶ transition rates between macro states

How can we optimally choose the macro-states?

Use the gradient basins around each local minimum.

Transition rates could follow an Arrhenius rule

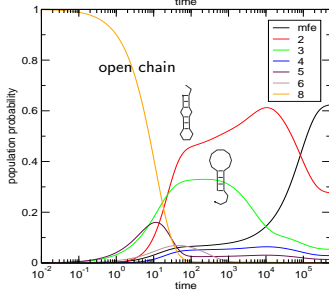
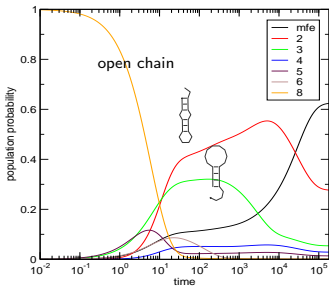
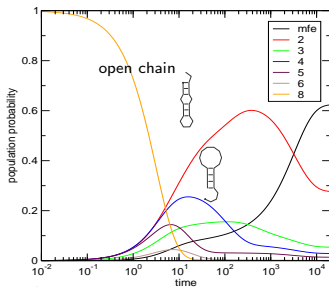
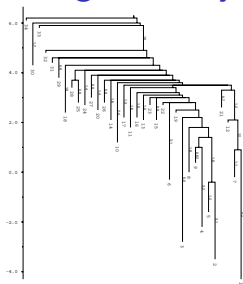
$$r_{\beta\alpha} = \exp\left(-\frac{(E_{\beta\alpha}^* - G_\alpha)}{RT}\right).$$

Or compute macro state rates from microscopic ones

$$r_{\beta\alpha} = \sum_{y \in \beta} \sum_{x \in \alpha} r_{yx} \text{Prob}[x|\alpha] = \frac{1}{Z_\alpha} \sum_{y \in \beta} \sum_{x \in \alpha} r_{yx} e^{-E(x)/RT}$$

assuming local equilibrium.

# Coarse grained dynamics vs. full dynamics



## Folding during Transcription

- ▶ RNA is transcribed at a rate of only 30–40 nucleotides per second
- ▶ The nascent chain starts folding as soon as it leaves the ribosome
- ▶ Stem formed by the incomplete chain may be too stable to refold later on
- ▶ Co-transcriptional folding may drive the folding process to a well-defined folded state

# Kinetic Folding Algorithm

Simulate folding kinetics by a Monte-Carlo type algorithm:

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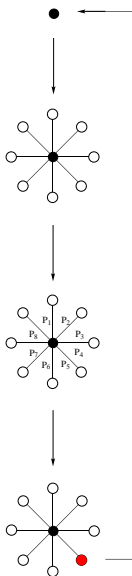
- Basepair Insertion
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Assign rates to each move, e.g.

$$P_i = \min \left\{ 1, \exp \left( -\frac{\Delta E}{kT} \right) \right\}$$

Advance clock  $1 / \sum_i P_i$ .

select a move with probability proportional to its rate



# Kinetic Folding Algorithm

Simulate folding kinetics by a Monte-Carlo type algorithm:

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- Basepair Insertion
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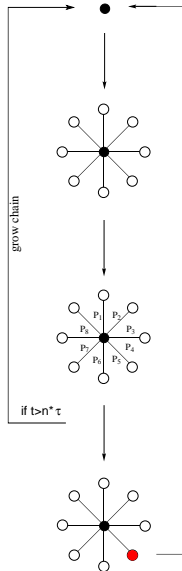
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$$P_i = \min \left\{ 1, \exp \left( -\frac{\Delta E}{kT} \right) \right\}$$

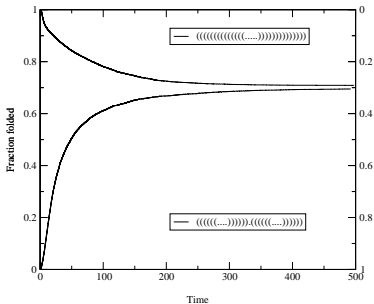
Advance clock  $1 / \sum_i P_i$ .

extend chain by one if  $t > n \cdot \tau$  else

select a move with probability proportional to its rate



# Barrier Tree and refolding Path



```
(((((.....))))))..(((.....)))))) -23.00
(((.....))))))..(((.....)))))) -17.50
(((.....))))))..(((.....)))))) -17.50
(((.....))))))..(((.....)))))) -17.50
(((.....))))))..(((.....)))))) -13.70
-(((.....))))))..(((.....)))))) -13.70
-(((.....))))))..(((.....)))))) -14.30
-(((.....))))))..(((.....)))))) -14.10
..(((.....))))))..(((.....)))))) -12.10
..(((.....))))))..(((.....)))))) -09.20
.....(((.....))))))..(((.....)))))) -08.40
.....(((.....))))))..(((.....)))))) -09.80
.....(((.....))))))..(((.....)))))) -08.60
.....(((.....))))))..(((.....)))))) -10.30
.....(((.....))))))..(((.....)))))) -11.40
.....(((.....))))))..(((.....)))))) -09.90
.....(((.....))))))..(((.....)))))) -09.10
-(((.....))))))..(((.....)))))) -06.20
-(((.....))))))..(((.....)))))) -04.00
-(((.....))))))..(((.....)))))) -04.70
-(((.....))))))..(((.....)))))) -04.50
(((.....))))))..(((.....)))))) -04.50
(((.....))))))..(((.....)))))) -04.50
(((.....))))))..(((.....)))))) -09.09
(((.....))))))..(((.....)))))) -09.69
(((.....))))))..(((.....)))))) -10.09
(((.....))))))..(((.....)))))) -09.50
(((.....))))))..(((.....)))))) -09.80
(((.....))))))..(((.....)))))) -09.50
(((.....))))))..(((.....)))))) -11.30
(((.....))))))..(((.....)))))) -09.60
(((.....))))))..(((.....)))))) -08.70
(((.....))))))..(((.....)))))) -08.30
(((.....))))))..(((.....)))))) -07.94
(((.....))))))..(((.....)))))) -14.48
(((.....))))))..(((.....)))))) -17.60
(((.....))))))..(((.....)))))) -20.70
(((.....))))))..(((.....)))))) -23.80
```

- ▶ The two component structure is kinetically preferred
- ▶ From the open chain 75% of trajectories end in the two component structure
- ▶ Much stronger effect for **co-transcriptional** folding:  
only 1 in 1000 trajectories ends in the one component structure

## Some Examples

Effect of co-transcriptional folding for some bi-stable structures taken from the PARNAS web site.

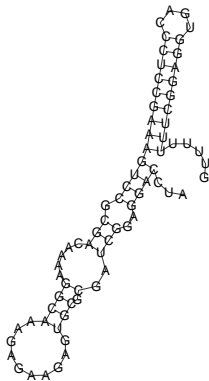
name	full seq	slow	fast	very fast	equil.	maxB <sup>1</sup>
MS2	69/31	99.6/0.4	59/41	76/24	99.9/0.1	8.1
S15	60/40	99.7/0.3	99.5/0.5	60/40	99/1	6.24
dsrA	32/68	63/37	42/58	65/35	62/38	7.8
attenuator	85/15	99.9/0.1	25/75	69/31	94/6	13.7

With realistically slow transcription rate, co-transcriptional folding often leads to equilibrium.

---

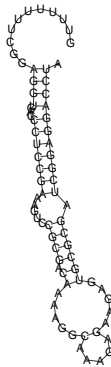
<sup>1</sup>kcal/mol

# Attenuator example



-22.9kcal/mol

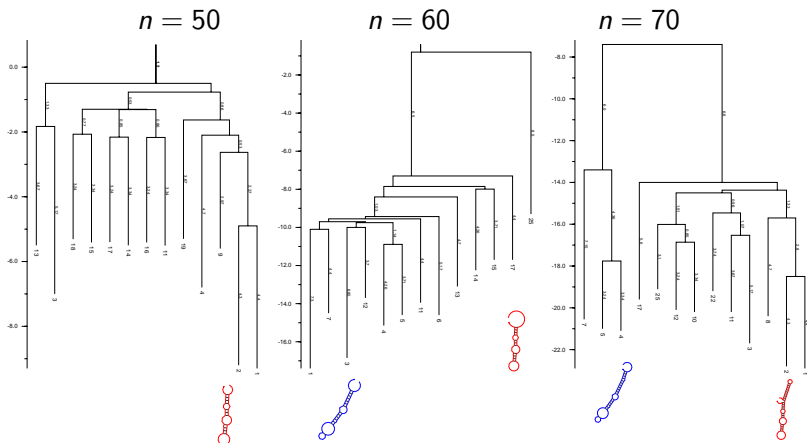
13.7kcal  
 $\rightleftharpoons$



-21.1kcal/mol



# Barrier Trees of Growing Sequence



AUCCAGGAGGCUAGCGCGUGAGAAGAGAAAACGGAAAACAGCGCCUGAAAGCCUCCCAGUGGAGGCUUUUUU

...(((.(.(.(.(.....))))).)).).).... (-9.3)

.....((((((.(((((((.....(.))....))....))....))....))....))....)).... (-17.4)

...(((.(.(.(.(.....))))).)).).)..... (-9.3)

...(((.(.(.(.(.....))))).)).).)((((((((.....)))))))).... (-22.9)

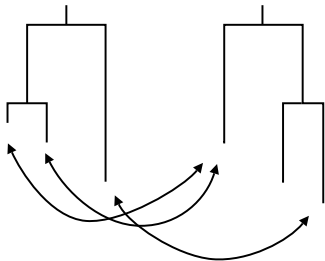
.....((((((((((.(((((((.....(.))....))....))....))....))....))....))....)).... (-21.1)

# Mapping between Barrier Trees

Each structure  $x$  at length  $n$  corresponds to an extended structure  $x\bullet$  at length  $n + 1$ .

For a minimum  $m$ , the corresponding minimum  $m'$  can be found by a gradient walk starting with  $m\bullet$ .

- ▶ Two minima may be mapped to the same minimum in the  $n + 1$  landscape.
- ▶ In addition new minima may appear.



# Mapping between Barrier Trees Example

bar\_map.pl computes the mapping between a sequence of bar files

```
> bar_map.pl attenuator_*.bar
```

```
44  46  48  50  52  54  56  58  60  62  64  65  66  67  68  70
6 -> 1 -> 1 -> 1 -> 1 -> 17 -> 16 -> 25 -> 25 ~> 26 ~> 27 -> 7 -> 3 -> 1 ~> 1 ~> 1
7 ~> 2 ~> 2 ~> 2 ~> 2 ~> 18 ~> 18 ~> 25 -> 25 ~> 26 ~> 27 -> 7 -> 3 -> 1 ~> 1 ~> 1
  -> 5 -> 4 -> 4 -> 12 ~> 18 ~> 18 ~> 25 -> 25 ~> 26 ~> 27 -> 7 -> 3 -> 1 ~> 1 ~> 1
  -> 8 -> 5 -> 5 -> 16 ~> 18 ~> 18 ~> 25 -> 25 ~> 26 ~> 27 -> 7 -> 3 -> 1 ~> 1 ~> 1
  ....
                                     -> 8 ~> 4 ~> 2 ~> 2 ~> 2
1 -> 7 ~> 14 -> 14 ~> 9 -> 20 -> 20 ~> 1 -> 1 ~> 1 -> 1 -> 1 -> 1 -> 3 -> 3 -> 4
4 -> 14 ~> 14 -> 14 ~> 9 -> 20 -> 20 ~> 1 -> 1 ~> 1 -> 1 -> 1 -> 1 -> 3 -> 3 -> 4
  -> 19 -> 11 -> 11 ~> 9 -> 20 -> 20 ~> 1 -> 1 ~> 1 -> 1 -> 1 -> 1 -> 3 -> 3 -> 4
    -> 15 -> 15 ~> 9 -> 20 -> 20 ~> 1 -> 1 ~> 1 -> 1 -> 1 -> 1 -> 3 -> 3 -> 4
      -> 3 -> 1 -> 1 -> 1 -> 1 ~> 1 -> 1 -> 1 -> 1 -> 3 -> 3 -> 4
      -> 6 -> 4 ~> 1 -> 1 -> 1 ~> 1 -> 1 -> 1 -> 1 -> 3 -> 3 -> 4
      -> 7 -> 5 ~> 1 -> 1 -> 1 ~> 1 -> 1 -> 1 -> 1 -> 3 -> 3 -> 4
  ....
2 ~> 9 ~> 17 ~> 17 ~> 10 ~> 21 ~> 21 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 4 ~> 4 ~> 5
  -> 16 ~> 16 ~> 10 ~> 21 ~> 21 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 4 ~> 4 ~> 5
    -> 4 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 4 ~> 4 ~> 5
    -> 8 ~> 6 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 4 ~> 4 ~> 5
    -> 21 -> 11 -> 6 -> 6 -> 6 ~> 5 -> 11 -> 13 -> 15 -> 19 ~> 4 ~> 5
      -> 19 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 2 ~> 4 ~> 4 ~> 5
```

# Coarse grained Simulation with Chain Growth

How to generalize the coarse grained simulations for co-transcriptional folding

1. Simulate folding on barrier tree of size  $n$  for time  $\tau$
2. map final population to size barrier tree of size  $n + 1$
3. use mapped population as initial condition for next simulation

Not yet implemented...

# Summary

- ▶ Folding dynamics can be simulated through either explicit MC simulation or coarse grained computation on the barrier tree.
- ▶ Both approaches can be generalized to co-transcriptional folding
- ▶ Co-transcriptional folding can focus the outcome on just **one** structure
- ▶ Results can depend strongly on transcription speed
- ▶ Need to fix our time-scale by comparison with experiment