

# Progressive multiple alignments of sequence triplets using structural information

**Matthias Kruspe**

Department of Computer Science, Bioinformatics Group  
University of Leipzig

**EMBL Meeting**

Vienna

21-24 May, 2006

## Typical framework of progressive alignment algorithms (e.g. *CLUSTAL*)

- 1 determine distances by pairwise alignment of all sequences
- 2 calculate phylogenetic tree from the pairwise alignment scores
- 3 align sequences sequentially guided by tree

## Problems

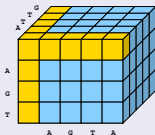
- not guaranteed to find optimal alignment
- ultimate alignment depends on initial pairwise alignments
- introduced gaps remain fixed during whole progressive alignment process
- loss of information when alignment is calculated

		agca
a-ga	ag-a	ag-a
agga	agga	agga

## Idea

- try to increase information transfer from sequences to alignment
- try to increase quality of introduced gaps
- instead of comparing only two sequences in each step compare three sequences

## Alignment of sequence triplets (3D alignment)



- apply standard *Needleman-Wunsch* dynamic programming algorithm with extensions to align three sequences
- use extended scoring scheme to handle all possible combinations of gap-open and -extension
- use sum of pairs cost model
- simple scoring function with fixed and position independent scoring terms (exchange costs and gap penalties)

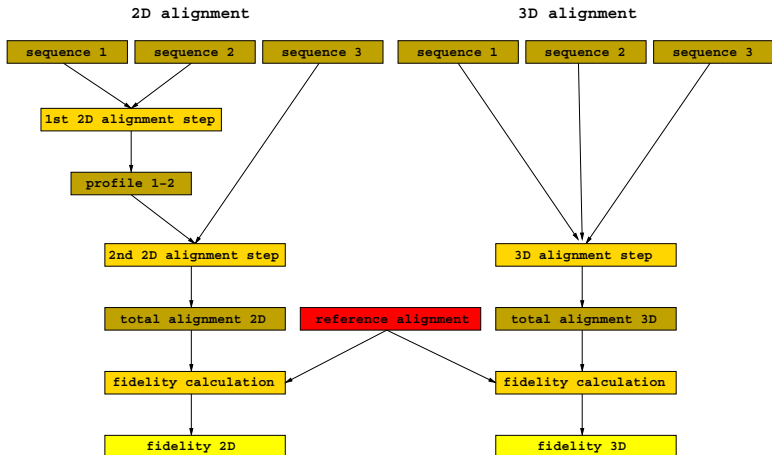
Gotoh, O. 1986

**Alignment of Three Biological Sequences with an Efficient Traceback Procedure**

*J. theor. Biol.*, 121

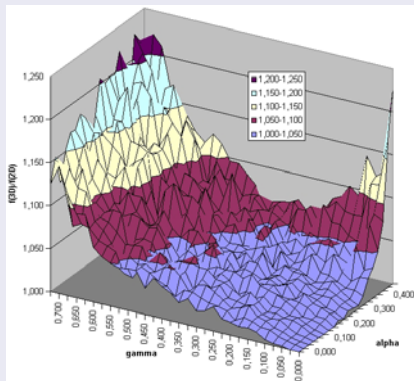
## 3D vs. 2D. alignment

- want to assess benefit of 3D alignment algorithm by aligning artificial sequence triplets with various distances



## Results

- 500 sets of sequence triplets with average length of 200 nucleotides



- ratio of  $f_{3D}$  to  $f_{2D}$  ( $> 1$  means benefit of 3D alg.)
  - fidelity benefit increases significantly with increasing indel probability

## Alignment order

- usually  $n > 3$  sequences are given  $\rightarrow$  must perform progressive sequence alignment
- problem: how to determine correct alignment order

## Neighbor-Net

- distance based clustering method similar to *Neighbor Joining* to construct phylogenetic networks
- sequences are represented as nodes
- two steps: agglomeration and expansion
  - agglomeration: three nodes are fused to two new nodes
  - expansion: process is reversed, result is planar graph that represents re-construct phylogenetic network

Bryant, D., Moulton, V. (2004)

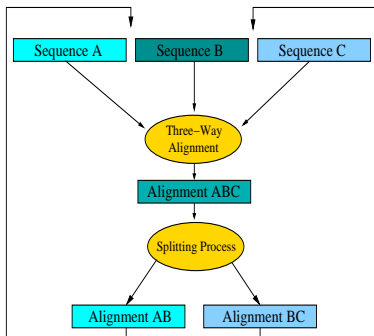
**Neighbor-Net: An Agglomerative Method for the Construction of Phylogenetic Networks** *Mol. Biol. Evol.*, 21(2)

## Getting alignment order out of phylogenetic network

- every node fusion in *Neighbor-Net* algorithm corresponds to a three-way alignment
- order of node fusion determines alignment order
- to keep framework consistent alignment must be divided into two alignments (possibility to remove mis-placed gaps)

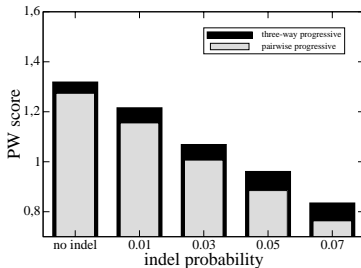
## Setup

- 1 determine sequence distances by pair-wise alignment
- 2 build a phylogenetic network using *Neighbor-Net*
- 3 align sequences sequentially according to phylogenetic network
  - align three sequences in each alignment step
  - split alignment into two during progressive steps until final alignment is reached



## PW scores

- generated a set of artificial sequences that evolve along a phylogenetic tree
- various indel probabilities to obtain different sequence distances
- aligned sequences using standard pairwise progressive alignment as well as triple alignment



- difference of PW score increases with increasing indel probability



## Using structural information

- Vienna RNA package (RNAfold)
- given a RNA molecule compute for every base pair  $(i, j)$  probability  $P_{ij}$  that base  $i$  pairs with base  $j$  when molecule is folded (*McCaskill's algorithm*)
- define following three terms
  - $p_1(i) = \sum_{j=1}^{i-1} P_{ij}$  (base paired downstream)
  - $p_2(i) = \sum_{j=i+1}^n P_{ij}$  (base paired upstream)
  - $p_3(i) = 1 - p_1(i) - p_2(i)$  (base un-paired)

## Score calculation

- given sequence  $x$  and  $y$  as well as base pair  $x_i$  and  $y_j$
- final score  $S_{final}$  of a base pair is sum of weighted sequence score  $S_{seq}$  and weighted structure score  $S_{struct}$  with weighting factor  $\psi \in [0, 1]$

$$S_{final}(x_i, y_j) = \psi \cdot S_{seq}(x_i, y_j) + (1 - \psi) \cdot S_{struct}(x_i, y_j)$$

## Dataset

- Group II introns
- rRNA
- tRNA
- U5 spliceosomal RNA
- miRNA
- all sequences are obtained from Rfam database

Gardner, P.P., Wilm, A., Washietl, S. (2005)

**A benchmark of multiple sequence alignment programs upon structural RNAs**

*Nucleic Acids Res*, 28

## Structure conservation index (SCI)

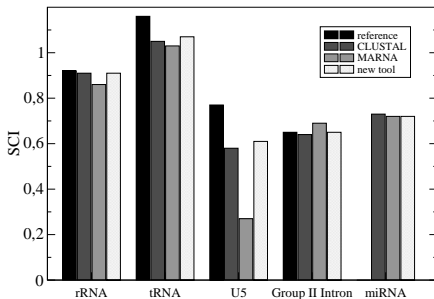
- provides a measure of conserved secondary-structure information contained within alignment
- derivative of MFE calculated by consensus folding algorithm (RNAalifold)

$$\text{SCI}(A) = \frac{\text{MFE}(A)}{\frac{1}{n} \sum_{i=1}^n \text{MFE}(S_i)}$$

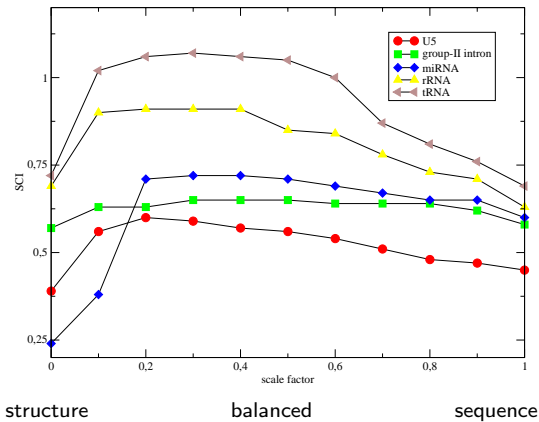
- SCI close to zero: no common RNA structure  
SCI close to one: perfectly conserved structure  
SCI larger one: conserved structure that is, in addition, supported by compensatory and/or consistent mutations preserving common structure
- measure of alignment quality independent from any reference alignment

Washietl, S., Hofacker, I., Stadler, P. (2005)

**Fast and reliable prediction of noncoding RNAs** *Proc. Natl Acad. Sci*, 102



	reference	ClustalW	MARNAs	new tool
<b>rRNA</b>	0.92	0.91	0.86	0.91
<b>tRNA</b>	1.16	1.05	1.03	1.07
<b>U5</b>	0.77	0.58	0.27	0.61
<b>g-II intron</b>	0.65	0.64	0.69	0.65
<b>miRNA</b>	-	0.73	0.71	0.72



- using both sequence and structure information increases SCI
- impact of  $\psi$  depends on
  - sequence identity (sequence with higher identity reach maximum SCI for higher values of  $\psi$ )
  - structure conservation

## Wrong gap removal

- splitting alignment offers possibility to remove mis-placed gaps
- $F$  is number of deleted gap columns,  $F_c$  is number of deleted gap columns that do not exist in final alignment

RNA family	$F_c/F$
Group II Intron	0.06
miRNA	0.14
rRNA	0.19
tRNA	0.12
U5	0.11

Thank you for your attention!